

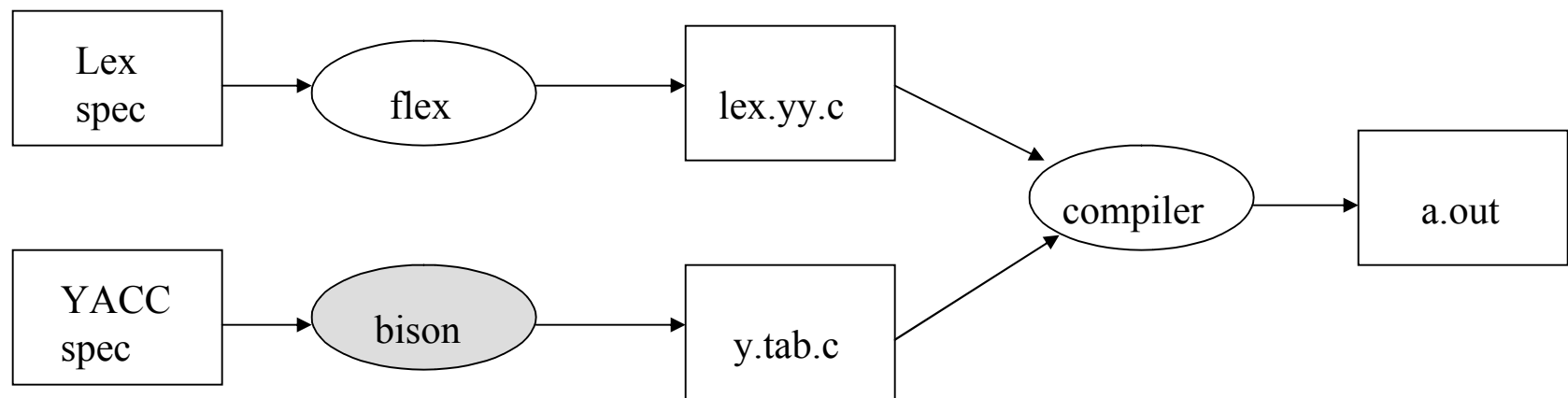
Lecture 6: YACC and Syntax Directed Translation

CS 540

George Mason University

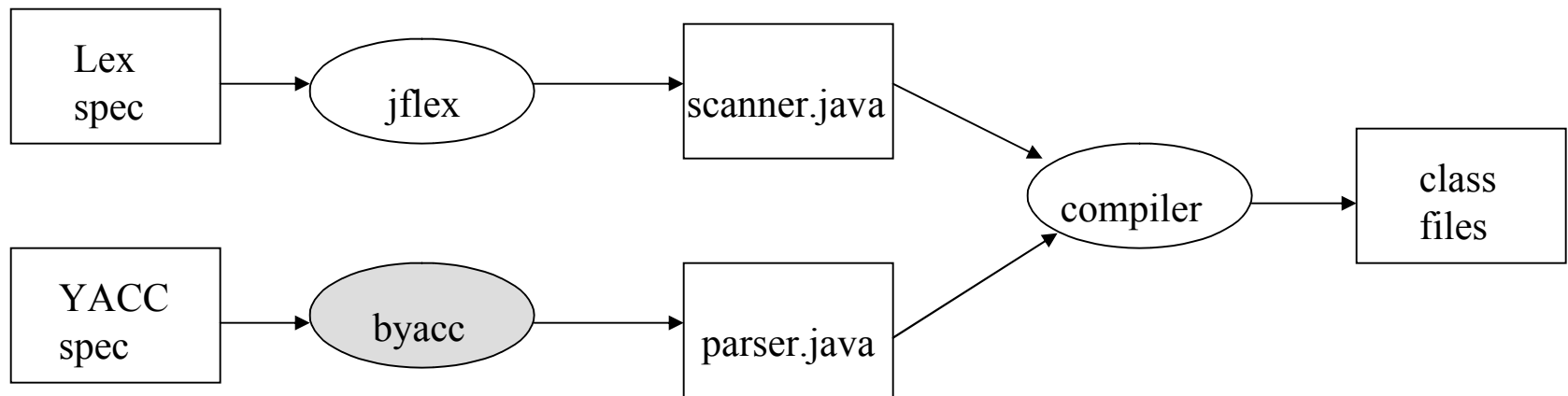
Part 1: Introduction to YACC

YACC – Yet Another Compiler Compiler



C/C++ tools

YACC – Yet Another Compiler Compiler



Java tools

YACC Specifications

Declarations

%%

Translation rules

%%

Supporting C/C++ code

Similar structure to Lex

YACC Declarations Section

- Includes:
 - Optional C/C++/Java code (`%{ ... %}`) – copied directly into `y.tab.c` or `parser.java`
 - YACC definitions (`%token`, `%start`, ...) – used to provide additional information
 - `%token` – interface to `lex`
 - `%start` – start symbol
 - Others: `%type`, `%left`, `%right`, `%union` ...

YACC Rules

- A rule captures all of the productions for a single non-terminal.
 - Left_side : production 1
 | production 2
 ...
 | production n
 ;
- Actions may be associated with rules and are *executed when the associated production is reduced*.

YACC Actions

- Actions are C/C++/Java code.
- Actions can include references to attributes associated with terminals and non-terminals in the productions.
- Actions may be put inside a rule – action performed when symbol is pushed on stack
- Safest (i.e. most predictable) place to put action is at end of rule.

Integration with Flex (C/C++)

- *yyparse()* calls *yylex()* when it needs a new token. YACC handles the interface details

In the Lexer:	In the Parser:
<code>return(TOKEN)</code>	<code>%token TOKEN</code> TOKEN used in productions
<code>return('c')</code>	'c' used in productions

- *yylval* is used to return attribute information

Integration with Jflex (Java)

In the Lexer:	In the Parser:
<code>return Parser.TOKEN</code>	<code>%token TOKEN</code> TOKEN used in productions
<code>{return (int) ycharat(0);}</code>	<code>'c'</code> used in productions

Building YACC parsers

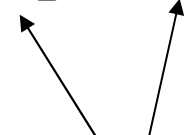
For `input.l` and `input.y`

- In `input.l` spec, need to `#include "input.tab.h"`

- `flex input.l`

```
bison -d input.y
```

```
gcc input.tab.c lex.yy.c -ly -ll
```



the order matters

Basic Lex/YACC example

```
%{
#include "sample.tab.h"
%}
%%
[a-zA-Z]+ {return (NAME) ;}
[0-9]{3}"-"[0-9]{4}
           {return (NUMBER) ; }
[ \n\t] ;
%%
```

Lex (sample.l)

```
%token NAME NUMBER
%%
file      :      file
           line
           |      line
           ;
line      :      NAME
           NUMBER
           ;
%%
```

YACC (sample.y)

Associated Lex Specification (flex)

```
%token NUMBER
%%
line      :   expr
           ;
expr      :   expr '+' term
           |   term
           ;
term      :   term '*' factor
           |   factor
           ;
factor    :   '(' expr ')'
           |   NUMBER
           ;
%%
```

Associated Flex specification

```
%{  
#include "expr.tab.h"  
%}  
%%  
\*          {return (`*') ; }  
\+          {return (`+') ; }  
\(          {return (`(') ; }  
\)          {return (`)') ; }  
[0-9]+      {return (NUMBER) ; }  
.  
%%
```

```

%{
import java.io.*;
%}
%token PLUS TIMES INT CR RPAREN LPAREN
%%
lines : lines line | line ;
line : expr CR ;
expr : expr PLUS term | term ;
term : term TIMES factor | factor ;
factor: LPAREN expr RPAREN | INT ;
%%
private scanner lexer;
private int yylex() {
    int retVal = -1;
    try { retVal = lexer.yylex(); }
    catch (IOException e) { System.err.println("IO Error:" + e); }
    return retVal;
}
public void yyerror (String error) {
    System.err.println("Error : " + error + " at line " +
lexer.getLine());
    System.err.println("String rejected");
}
public Parser (Reader r) { lexer = new scanner (r, this); }
public static void main (String [] args) throws IOException {
    Parser yyparser = new Parser(new FileReader(args[0]));
    yyparser.yyparse();
}

```

byacc Specification

Associated jflex specification

```
%%  
%class scanner  
%unicode  
%byaccj  
%{  
private Parser yyparser;  
public scanner (java.io.Reader r, Parser yyparser) {  
    this (r); this.yyparser = yyparser; }  
public int getLine() { return yyline; }  
%}  
%%  
"+"      {return Parser.PLUS;}  
"*"      {return Parser.TIMES;}  
" ("     {return Parser.LPAREN;}  
")"      {return Parser.RPAREN;}  
[\\n]    {return Parser.CR;}  
[0-9]+   {return Parser.INT;}  
[ \\t]   {;}  
}
```


Notes: Debugging YACC conflicts: shift/reduce

- Sometimes you get shift/reduce errors if you run YACC on an incomplete program. Don't stress about these too much UNTIL you are done with the grammar.
- If you get shift/reduce errors, YACC can generate information for you (y.output) if you tell it to (-v)

Example: IF stmts

```
%token IF_T THEN_T ELSE_T STMT_T
%%
if_stmt :      IF_T condition THEN_T stmt
          |      IF_T condition THEN_T stmt ELSE_T stmt
          ;

condition:     '(' ')'
              ;

stmt          :      STMT_T
              |      if_stmt
              ;

%%
```

This input produces a shift/reduce error

In y.output file:

```
7: shift/reduce conflict (shift 10, red'n 1) on  
ELSE_T
```

```
state 7
```

```
    if_stmt : IF_T condition THEN_T stmt_  
(1)
```

```
    if_stmt : IF_T condition THEN_T  
stmt_ELSE_T stmt
```

```
ELSE_T shift 10  
. reduce 1
```

Precedence/Associativity in YACC

- Forgetting about precedence and associativity is a major source of shift/reduce conflict in YACC.
- You can specify precedence and associativity in YACC, making your grammar simpler.
- Associativity: %left, %right, %nonassoc
- Precedence given order of specifications”
 - %left PLUS MINUS**
 - %left MULT DIV**
 - %nonassoc UMINUS**
- P. 62-64 in Lex/YACC book

Precedence/Associativity in YACC

`%left PLUS MINUS`

`%left MULT DIV`

`%nonassoc UMINUS`

...

`%%`

...

```
expression : expression PLUS expression
           | expression MINUS expression
```

...

Part 2: Syntax Directed Translation

Syntax Directed Translation

Syntax = form, Semantics = meaning

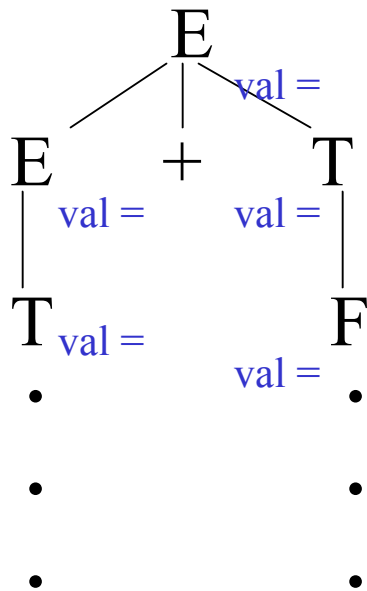
- Use the syntax to derive semantic information.
- Attribute grammar:
 - Context free grammar augmented by a set of rules that specify a computation
 - Also referred to using the more general term: Syntax Directed Definition (SDD)
- Evaluation of attributes grammars – can we fit with parsing?

Attributes

- Associate *attributes* with parse tree nodes (internal and leaf).
- Rules (semantic actions) describe how to compute value of attributes in tree (possibly using other attributes in the tree)
- Two types of attributes based on how value is calculated: Synthesized & Inherited

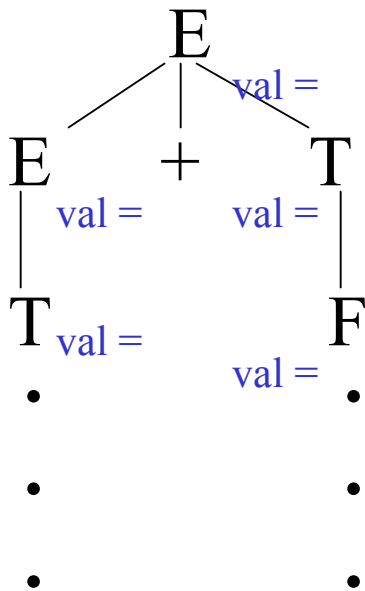
Example Attribute Grammar

attributes can be associated with nodes in the parse tree



Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
$E \rightarrow T$	$E.val = T.val$
$T \rightarrow T_1 * F$	$T.val = T_1.val * F.val$
$T \rightarrow F$	$T.val = F.val$
$F \rightarrow \text{num}$	$F.val = \text{value}(\text{num})$
$F \rightarrow (E)$	$F.val = E.val$

Example Attribute Grammar

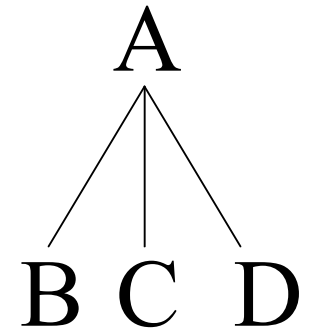


Production	Semantic Actions
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Rule = compute the value of the attribute 'val' at the parent by adding together the value of the attributes at two of the children

Synthesized Attributes

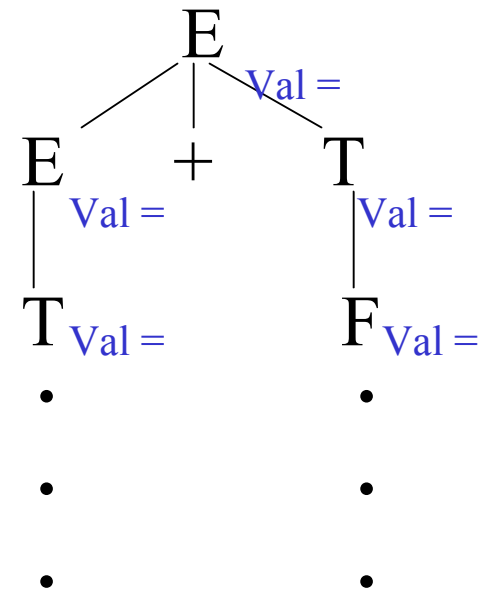
Synthesized attributes – the value of a synthesized attribute for a node is computed using only information associated with the node and the node's children (or the lexical analyzer for leaf nodes).



Example:	Production	Semantic Rules
	$A \rightarrow B C D$	$A.a := B.b + C.e$

Synthesized Attributes –Annotating the parse tree

Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
$E \rightarrow T$	$E.val = T.val$
$T \rightarrow T_1 * F$	$T.val = T_1.val * F.val$
$T \rightarrow F$	$T.val = F.val$
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A set of rules that only uses synthesized attributes is called S-attributed

Example Problems using Synthesized Attributes

- Expression grammar – given a valid expression using constants (ex: $1 * 2 + 3$), determine the associated value while parsing.
- Grid – Given a starting location of 0,0 and a sequence of north, south, east, west moves (ex: NESNNE), find the final position on a unit grid.

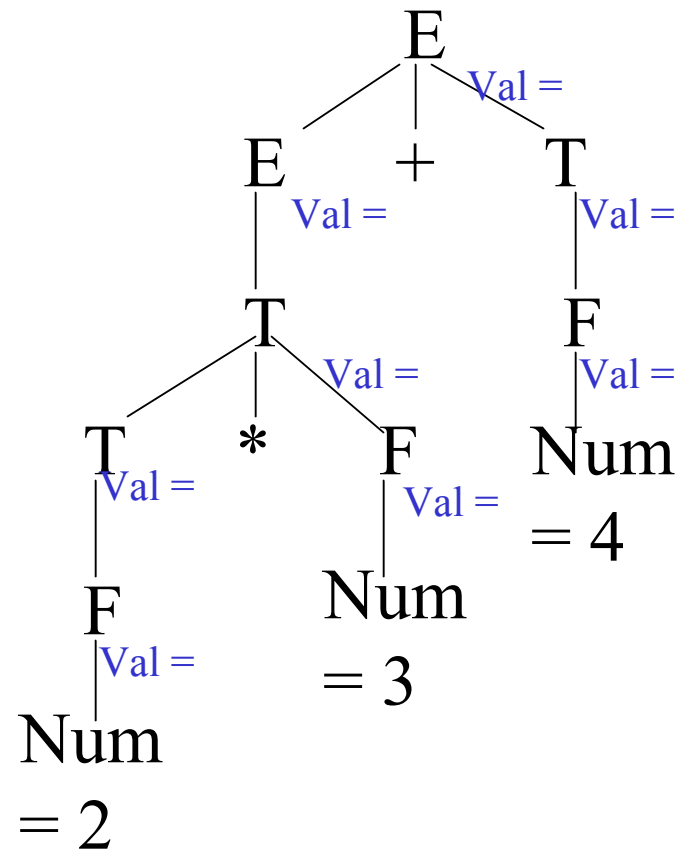
Synthesized Attributes – Expression Grammar

Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
$E \rightarrow T$	$E.val = T.val$
$T \rightarrow T_1 * F$	$T.val = T_1.val * F.val$
$T \rightarrow F$	$T.val = F.val$
$F \rightarrow \text{num}$	$F.val = \text{value}(\text{num})$
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Synthesized Attributes –Annotating the parse tree

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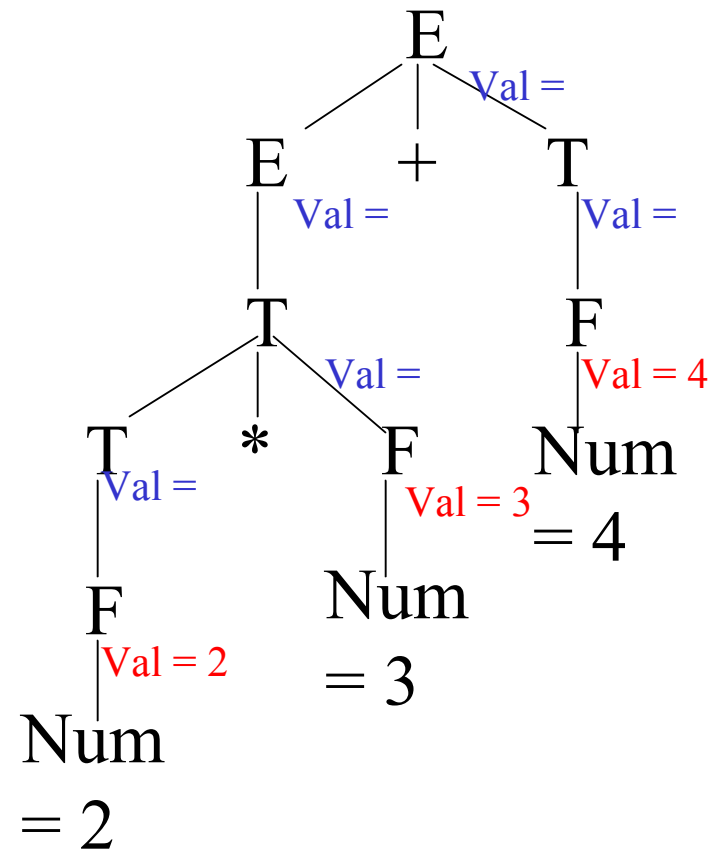
Input: $2 * 3 + 4$



Synthesized Attributes –Annotating the parse tree

Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
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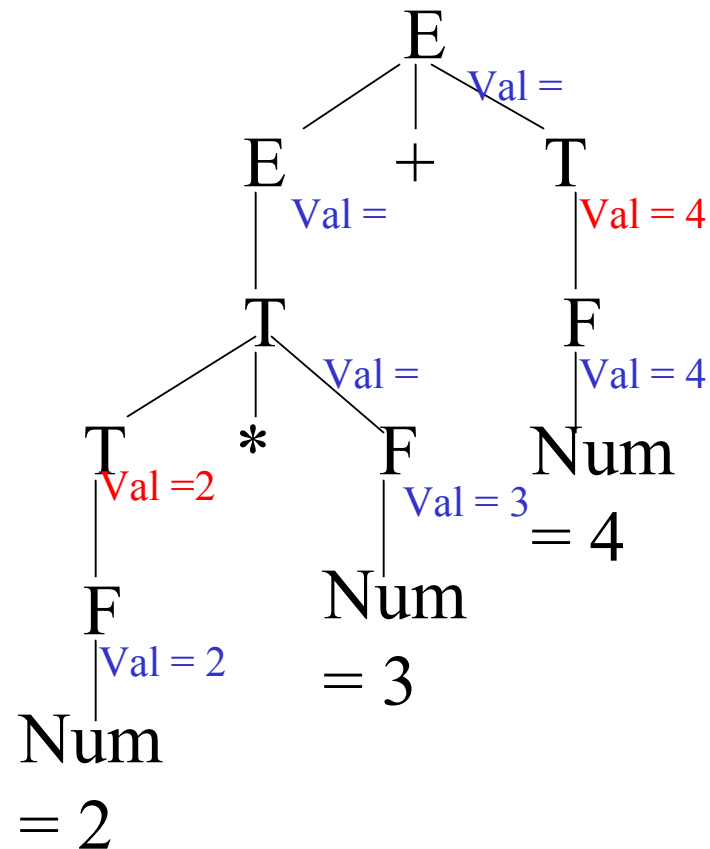
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Synthesized Attributes –Annotating the parse tree

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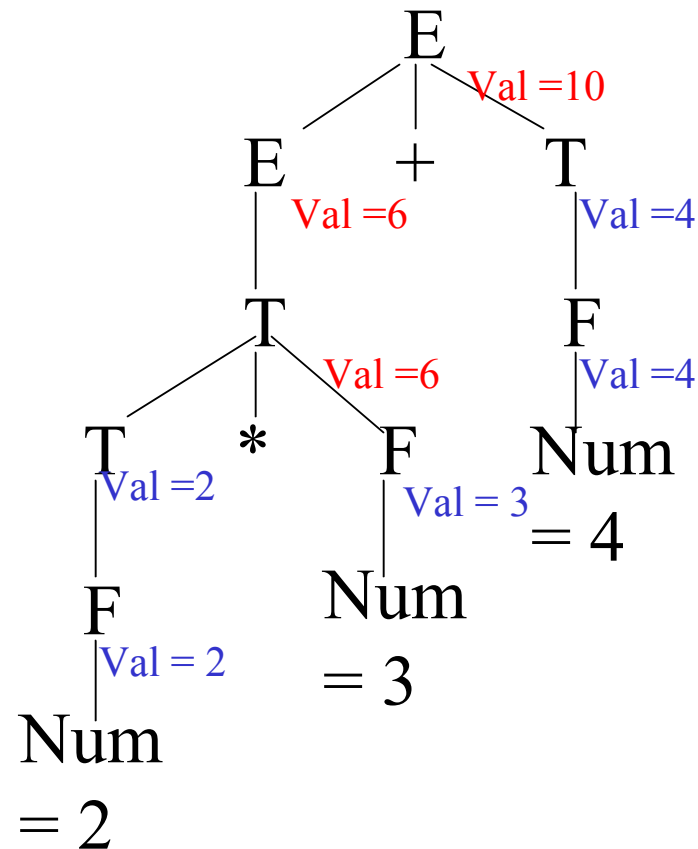
Input: $2 * 3 + 4$



Synthesized Attributes –Annotating the parse tree

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$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
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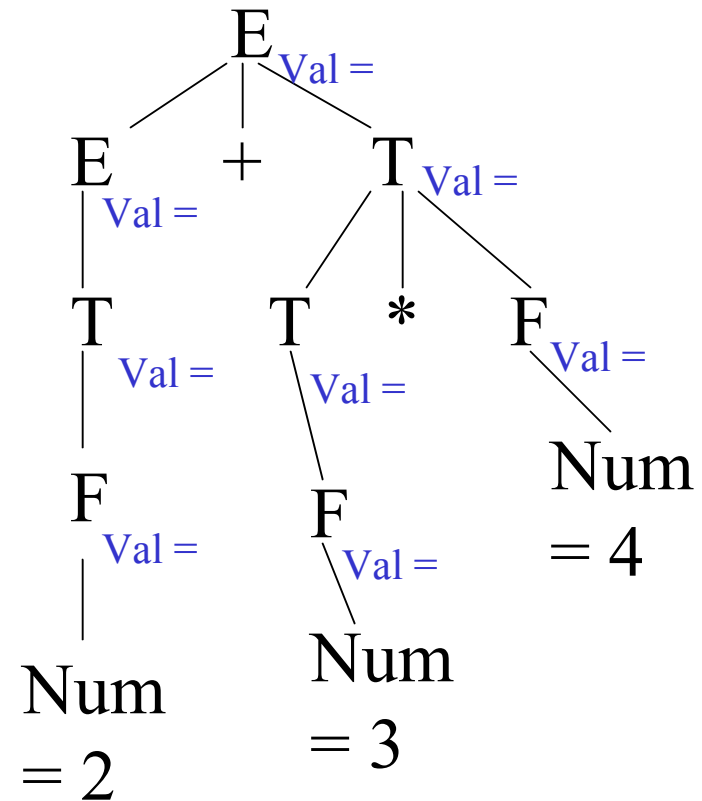
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Synthesized Attributes –Annotating the parse tree

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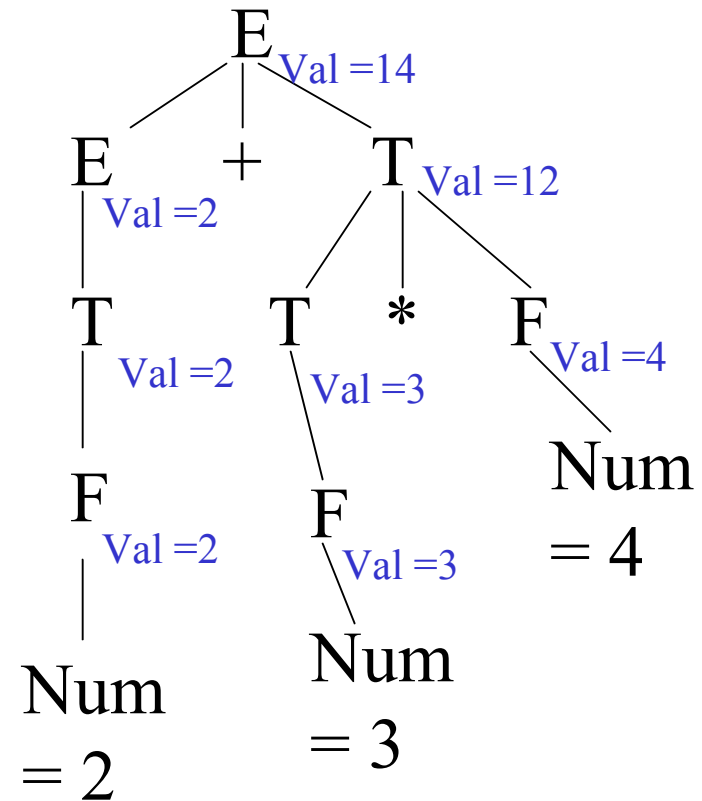
Input: $2 + 4 * 3$



Synthesized Attributes –Annotating the parse tree

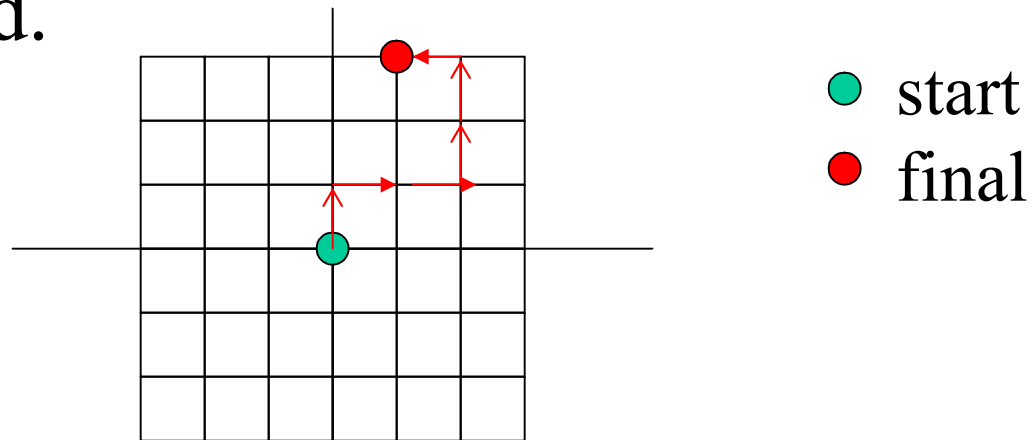
Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
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$T \rightarrow F$	$T.val = F.val$
$F \rightarrow num$	$F.val = value(num)$
$F \rightarrow (E)$	$F.val = E.val$

Input: $2 + 4 * 3$



Grid Example

- Given a starting location of 0,0 and a sequence of north, south, east, west moves (ex: NEENNW), find the final position on a unit grid.



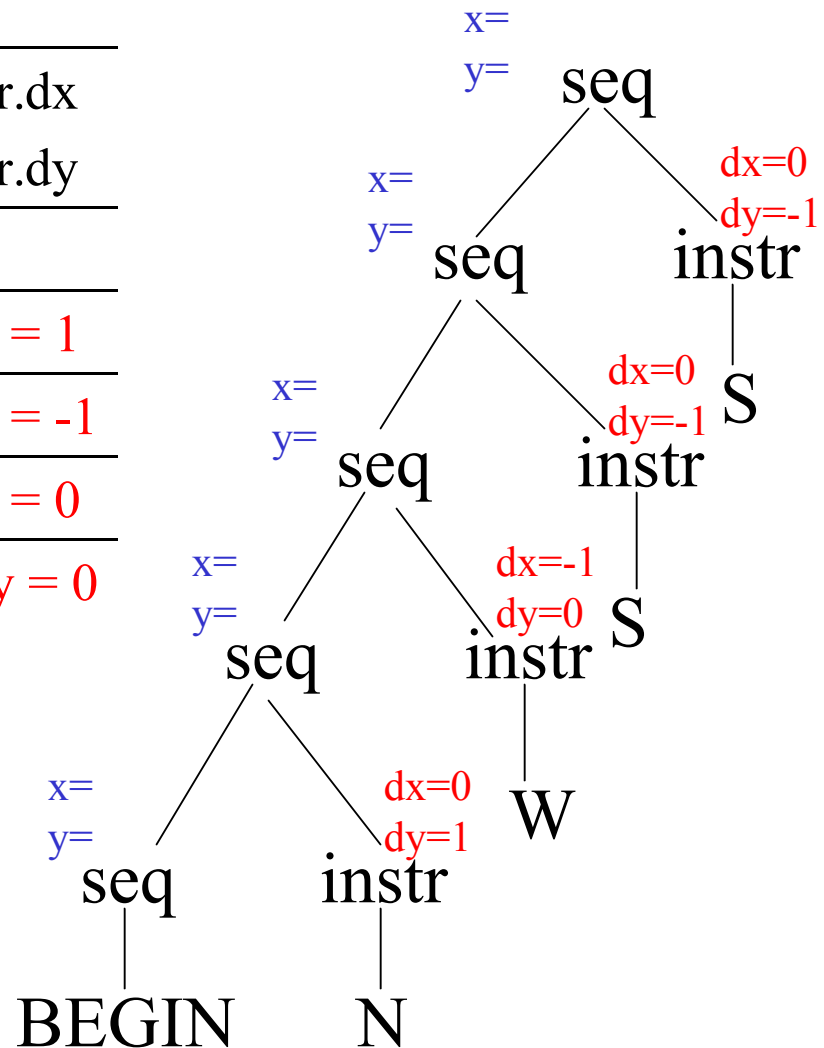
Synthesized Attributes – Grid Positions

Production	Semantic Actions
$\text{seq} \rightarrow \text{seq}_1 \text{ instr}$	$\text{seq.x} = \text{seq}_1.\text{x} + \text{instr.dx}$ $\text{seq.y} = \text{seq}_1.\text{y} + \text{instr.dy}$
$\text{seq} \rightarrow \text{BEGIN}$	$\text{seq.x} = 0, \text{seq.y} = 0$
$\text{instr} \rightarrow \text{NORTH}$	$\text{instr.dx} = 0, \text{instr.dy} = 1$
$\text{instr} \rightarrow \text{SOUTH}$	$\text{instr.dx} = 0, \text{instr.dy} = -1$
$\text{instr} \rightarrow \text{EAST}$	$\text{instr.dx} = 1, \text{instr.dy} = 0$
$\text{instr} \rightarrow \text{WEST}$	$\text{instr.dx} = -1, \text{instr.dy} = 0$

Synthesized Attributes –Annotating the parse tree

Production	Semantic Actions
$\text{seq} \rightarrow \text{seq}_1 \text{ instr}$	$\text{seq.x} = \text{seq}_1.\text{x} + \text{instr.dx}$ $\text{seq.y} = \text{seq}_1.\text{y} + \text{instr.dy}$
$\text{seq} \rightarrow \text{BEGIN}$	$\text{seq.x} = 0, \text{seq.y} = 0$
$\text{instr} \rightarrow \text{NORTH}$	$\text{instr.dx} = 0, \text{instr.dy} = 1$
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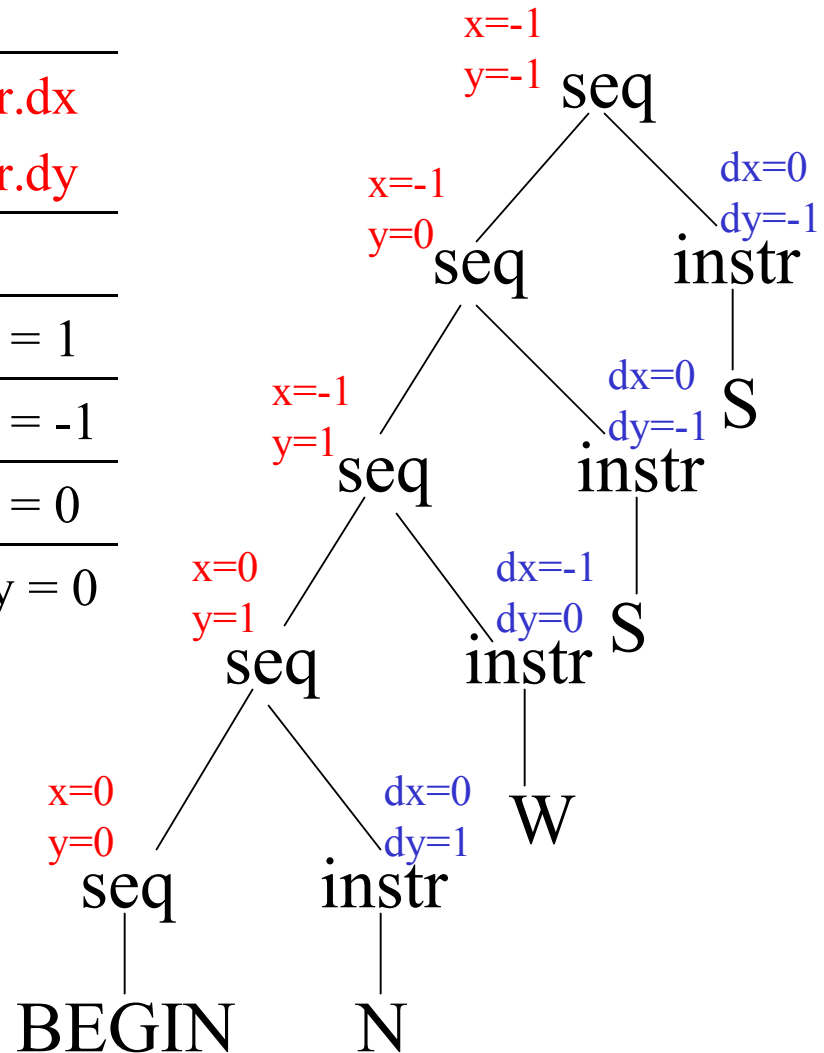
Input: BEGIN N W S S



Synthesized Attributes –Annotating the parse tree

Production	Semantic Actions
$\text{seq} \rightarrow \text{seq}_1 \text{ instr}$	$\text{seq.x} = \text{seq}_1.\text{x} + \text{instr.dx}$ $\text{seq.y} = \text{seq}_1.\text{y} + \text{instr.dy}$
$\text{seq} \rightarrow \text{BEGIN}$	$\text{seq.x} = 0, \text{seq.y} = 0$
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Input: BEGIN N W S S

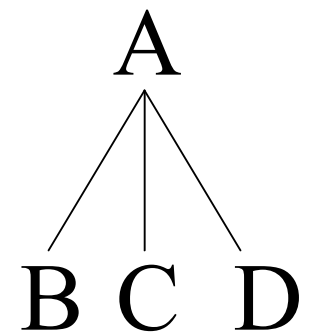


Inherited Attributes

Inherited attributes – if an attribute is not synthesized, it is inherited.

Example:

Production	Semantic Rules
$A \rightarrow B C D$	$B.b := A.a + C.b$



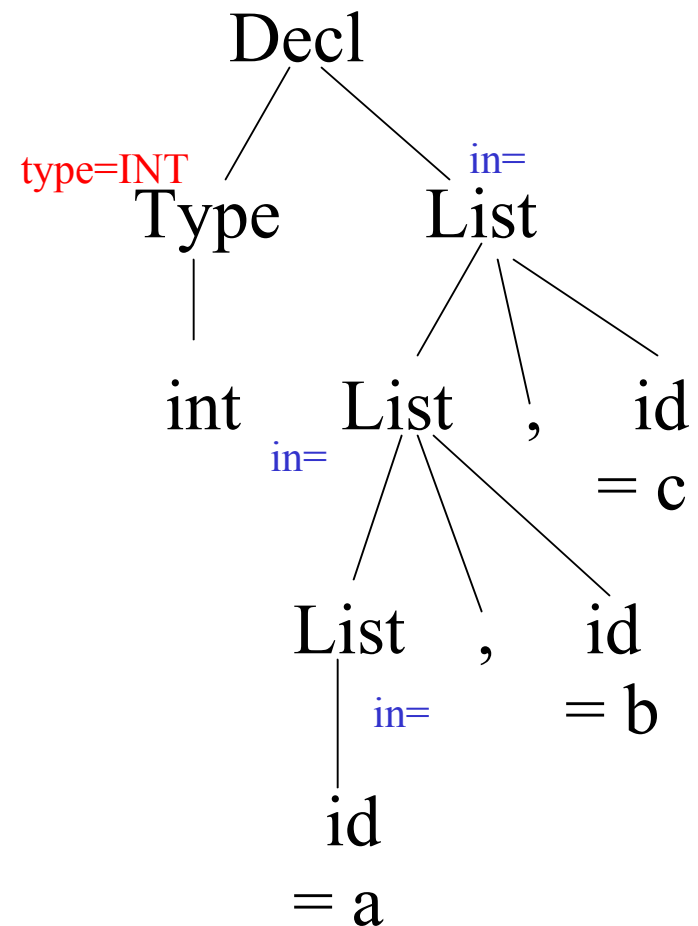
Inherited Attributes – Determining types

Productions	Semantic Actions
Decl \rightarrow Type List	List.in = Type.type
Type \rightarrow int	Type.type = INT
Type \rightarrow real	T.type = REAL
List \rightarrow List ₁ , id	List ₁ .in = List.in, addtype(id.entry.List.in)
List \rightarrow id	addtype(id.entry,List.in)

Inherited Attributes – Example

Productions	Semantic Actions
Decl \rightarrow Type List	List.in = Type.type
Type \rightarrow int	Type.type = INT
Type \rightarrow real	T.type = REAL
List \rightarrow List ₁ , id	List ₁ .in = List.in, addtype(id.entry.List.in)
List \rightarrow id	addtype(id.entry, List.in)

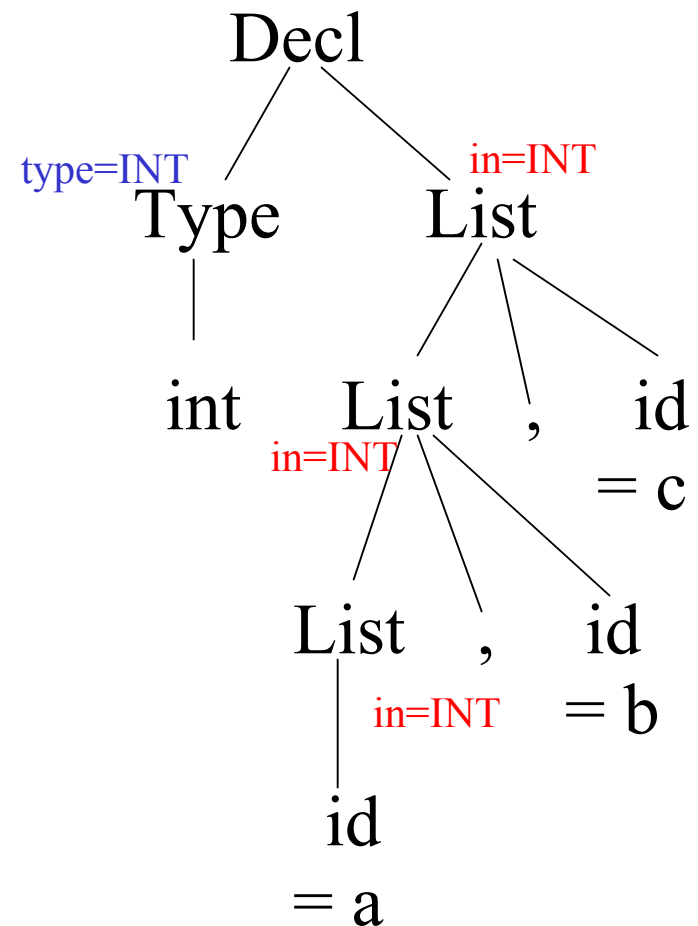
Input: int a,b,c



Inherited Attributes – Example

Productions	Semantic Actions
Decl \rightarrow Type List	List.in = Type.type
Type \rightarrow int	Type.type = INT
Type \rightarrow real	T.type = REAL
List \rightarrow List ₁ , id	List ₁ .in = List.in, addtype(id.entry.List.in)
List \rightarrow id	addtype(id.entry, List.in)

Input: int a,b,c



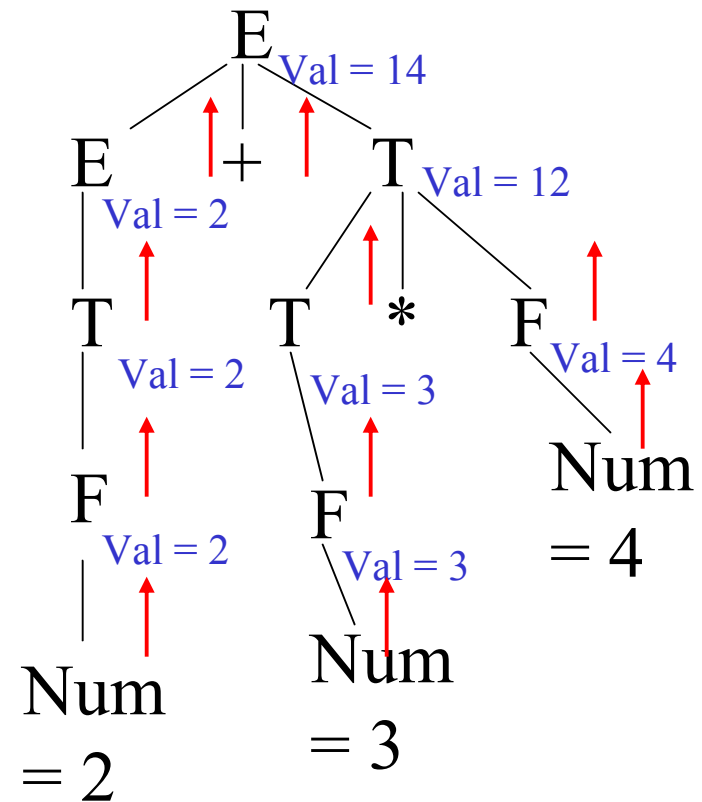
Attribute Dependency

- An attribute b **depends** on an attribute c if a valid value of c must be available in order to find the value of b .
- The relationship among attributes defines a **dependency graph** for attribute evaluation.
- Dependencies matter when considering syntax directed translation in the context of a parsing technique.

Attribute Dependencies

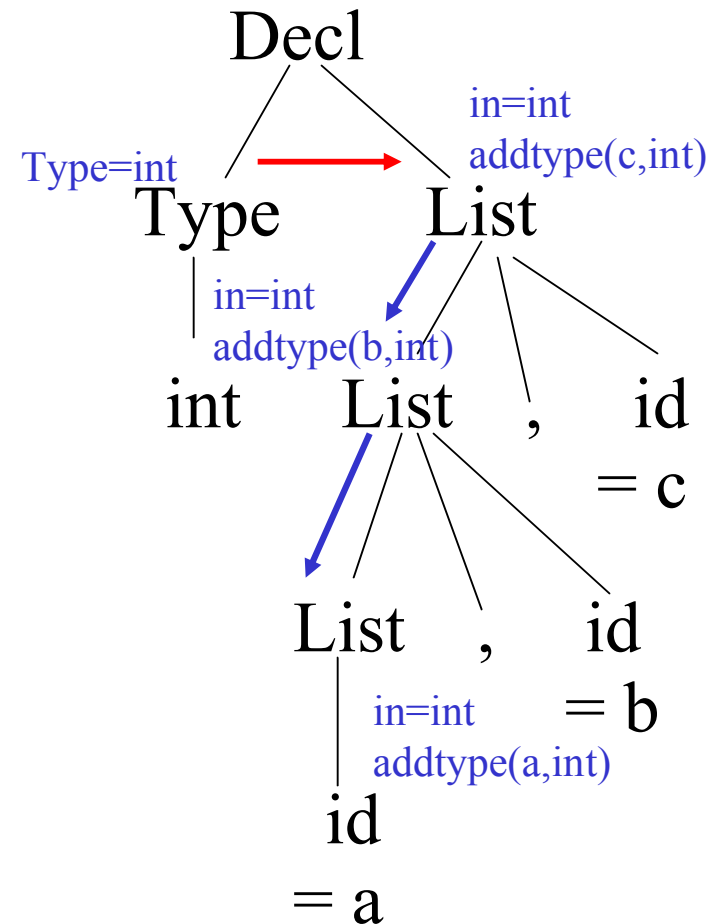
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$T \rightarrow F$	$T.val = F.val$
$F \rightarrow \text{num}$	$F.val = \text{value}(\text{num})$
$F \rightarrow (E)$	$F.val = E.val$

Synthesized attributes –
dependencies always up the tree



Attribute Dependencies

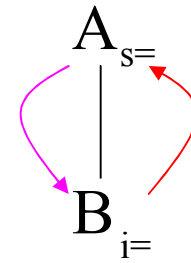
Productions	Semantic Actions
$\text{Decl} \rightarrow \text{Type List}$	$\text{List.in} = \text{Type.type}$
$\text{Type} \rightarrow \text{int}$	$\text{Type.type} = \text{INT}$
$\text{Type} \rightarrow \text{real}$	$\text{T.type} = \text{REAL}$
$\text{List} \rightarrow \text{List}_1, \text{id}$	$\text{List}_1.\text{in} = \text{List.in},$ $\text{addtype}(\text{id.entry.List.in})$
$\text{List} \rightarrow \text{id}$	$\text{addtype}(\text{id.entry}, \text{List.in})$



Attribute Dependencies

Circular dependencies are a problem

Productions	Semantic Actions
$A \rightarrow B$	$A.s = B.i$ $B.i = A.s + 1$



Synthesized Attributes and LR Parsing

Synthesized attributes have natural fit with LR parsing

- Attribute values can be stored on stack with their associated symbol
- When reducing by production $A \rightarrow \alpha$, both α and the value of α 's attributes will be on the top of the LR parse stack!

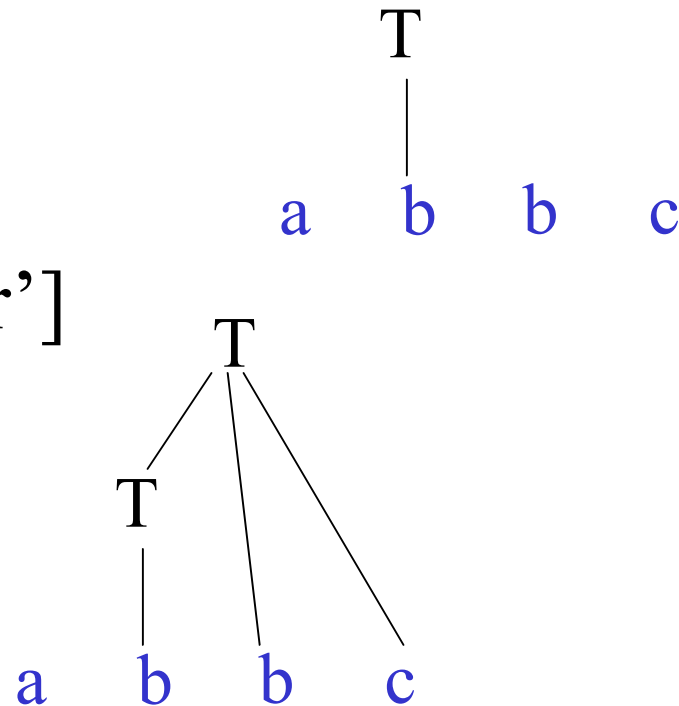
Synthesized Attributes and LR Parsing

Example Stack:

$\$0[\text{attr}], a1[\text{attr}], T2[\text{attr}], b5[\text{attr}], c8[\text{attr}]$

Stack after $T \rightarrow T b c$:

$\$0[\text{attr}], a1[\text{attr}], T2[\text{attr}']$



Other SDD types

L-Attributed definition – edges can go from left to right, but not right to left. Every attribute must be:

- Synthesized or
- Inherited (but limited to ensure the left to right property).

Part 3: Back to YACC

Attributes in YACC

- You can associate attributes with symbols (terminals and non-terminals) on right side of productions.
- Elements of a production referred to using ‘\$’ notation. Left side is \$\$\$. Right side elements are numbered sequentially starting at \$1.

For A : B C D,

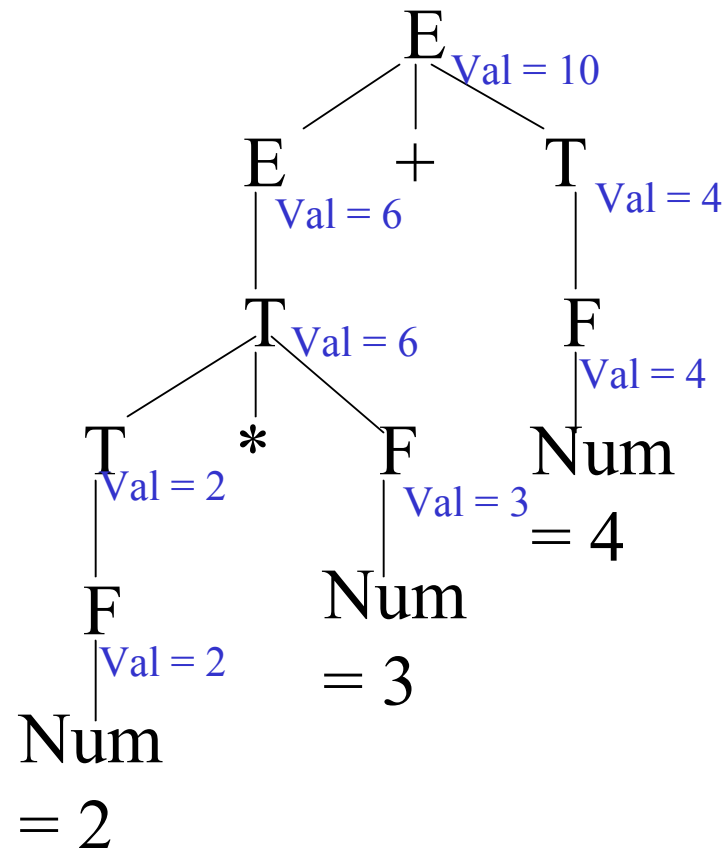
A is \$\$\$, B is \$1, C is \$2, D is \$3.

- Default attribute type is *int*.
- Default action is $$$$ = \1 ;

Back to Expression Grammar

Production	Semantic Actions
$E \rightarrow E_1 + T$	$E.val = E_1.val + T.val$
$E \rightarrow T$	$E.val = T.val$
$T \rightarrow T_1 * F$	$T.val = T_1.val * F.val$
$T \rightarrow F$	$T.val = F.val$
$F \rightarrow \text{num}$	$F.val = \text{value}(\text{num})$
$F \rightarrow (E)$	$F.val = E.val$

Input: $2 * 3 + 4$



Expression Grammar in YACC

```
%token NUMBER CR
%%
lines   : lines line
        | line
        ;
line    : expr CR      {printf("Value = %d", $1); }
        ;
expr    : expr '+' term { $$ = $1 + $3; }
        | term        { $$ = $1; /* default - can omit */}
        ;
term    : term '*' factor { $$ = $1 * $3; }
        | factor
        ;
factor  : '(' expr ')'   { $$ = $2; }
        | NUMBER
        ;
%%
```

Expression Grammar in YACC

```
%token NUMBER CR
%%
lines    :  lines line
          |  line
          ;

line     :  expr  CR          {System.out.println($1.ival); }
          ;

expr     :  expr '+' term    {$$ = new ParserVal($1.ival + $3.ival); }
          |  term
          ;

term     :  term '*' factor   {$$ = new ParserVal($1.ival * $3.ival); }
          |  factor
          ;

factor   :  '(' expr ')'     {$$ = new ParserVal($2.ival); }
          |  NUMBER
          ;

%%
```


Associated Lex Specification

```
%%  
\+      {return(`+' ); }  
\*      {return(`*' ); }  
\(      {return(`(' ); }  
\)      {return(`)' ); }  
[0-9]+  {yyval = atoi(ytext); return(NUMBER); }  
[\n]    {return(CR); }  
[ \t]   ;  
%%
```

In Java:

```
yyparser.yy1val =  
    new ParserVal(Integer.parseInt(ytext()));  
return Parser.INT;
```

A : B {action1} C {action2} D {action3};

- Actions can be embedded in productions. This changes the numbering (\$1,\$2,...)
- Embedding actions in productions not always guaranteed to work. However, productions can always be rewritten to change embedded actions into end actions.

A : new_B new_C D {action3};

new_b : B {action1};

new_C : C {action 2} ;

- Embedded actions are executed when all symbols to the left are on the stack.

Non-integer Attributes in YACC

- *yylval* assumed to be integer if you take no other action.
- First, types defined in YACC definitions section.

```
%union{  
    type1 name1;  
    type2 name2;  
    ...  
}
```

- Next, define what tokens and non-terminals will have these types:

```
%token <name> token
```

```
%type <name> non-terminal
```

- In the YACC spec, the $\$n$ symbol will have the type of the given token/non-terminal. If type is a record, field names must be used (i.e. $\$n.field$).
- In Lex spec, use $yylval.name$ in the assignment for a token with attribute information.
- Careful, default action ($$$ = $1;$) can cause type errors to arise.

Example 2 with floating pt.

```
%union{ double f_value; }
%token <f_value> NUMBER
%type <f_value> expr term factor
%%
expr      :  expr '+' term          { $$ = $1 + $3; }
           |  term
           ;
term       :  term '*' factor       { $$ = $1 * $3; }
           |  factor
           ;
factor     :  '(' expr ')'         { $$ = $2; }
           |  NUMBER
           ;
%%
#include "lex.yy.c"
```

Associated Lex Specification

```
%%  
\*          {return(`*'); }  
\+          {return(`+'); }  
\(          {return(`('); }  
\)          {return(`)`); }  
[0-9]* "." [0-9]+  {yylval.f_value = atof(ytext);  
                    return(NUMBER); }  
%%
```

When type is a record:

- Field names must be used -- `$n.field` has the type of the given field.
- In Lex, `yylval` uses the complete name:
`yylval.typeName.fieldname`
- If type is pointer to a record, `→` is used (as in C/C++).

Example with records

Production	Semantic Actions
$\text{seq} \rightarrow \text{seq}_1 \text{ instr}$	$\text{seq.x} = \text{seq}_1.\text{x} + \text{instr.dx}$ $\text{seq.y} = \text{seq}_1.\text{y} + \text{instr.dy}$
$\text{seq} \rightarrow \text{BEGIN}$	$\text{seq.x} = 0, \text{seq.y} = 0$
$\text{instr} \rightarrow \text{N}$	$\text{instr.dx} = 0, \text{instr.dy} = 1$
$\text{instr} \rightarrow \text{S}$	$\text{instr.dx} = 0, \text{instr.dy} = -1$
$\text{instr} \rightarrow \text{E}$	$\text{instr.dx} = 1, \text{instr.dy} = 0$
$\text{instr} \rightarrow \text{W}$	$\text{instr.dx} = -1, \text{instr.dy} = 0$

Example in YACC

```
%union{
    struct s1 {int x; int y} pos;
    struct s2 {int dx; int dy} offset;
}
%type <pos> seq
%type <offset> instr
%%
seq      :      seq  instr      { $$ .x = $1.x+$2.dx;
                                $$ .y = $1.y+$2.dy; }
        |      BEGIN          { $$ .x=0;  $$ .y = 0; };
instr    :      N              { $$ .dx = 0; $$ .dy = 1; }
        |      S              { $$ .dx = 0; $$ .dy = -1; } ... ;
```

Attribute oriented YACC error messages

```
%union{
    struct s1 {int x; int y} pos;
    struct s2 {int dx; int dy} offset;
}
%type <pos> seq
%type <offset> instr
%%
seq      :      seq      instr      { $$ .x = $1.x+$2.dx;
                                     $$ .y = $1.y+$2.dy; }
        |      BEGIN      { $$ .x=0;  $$ .y = 0; };
instr    :      N
        |      S
        { $$ .dx = 0;  $$ .dy = -1; } ... ;
```

missing
action



yacc example2.y

"example2.y", line 13: fatal: default action causes potential type clash

Java's ParserVal class

```
public class ParserVal
{
    public int ival;
    public double dval;
    public String sval;
    public Object obj;
    public ParserVal(int val)
        { ival=val; }
    public ParserVal(double val)
        { dval=val; }
    public ParserVal(String val)
        { sval=val; }
    public ParserVal(Object val)
        { obj=val; }
}
```

If ParserVal won't work...

Can define and use your own Semantic classes:

```
/home/u1/white/byacc -Jsemantic=Semantic gen.y
```

```

%%
grid : seq          {System.out.println("Done: "
      + $1.ival1 + " " + $1.ival2);}
      ;
seq : seq instr     {$$.ival1 = $1.ival1 + $2.ival1;
      $$ .ival2 = $1.ival2 + $2.ival2;}
      | BEGIN
      ;
instr : N | S | E | W ;
%%
public static final class Semantic {
    public int ival1 ;
    public int ival2 ;
    public Semantic(Semantic sem) {
        ival1 = sem.ival1; ival2 = sem.ival2; }
    public Semantic(int i1,int i2) {
        ival1 = i1; ival2 = i2; }
    public Semantic() { ival1=0;ival2=0;} }

```

Grid Example (Java)

/home/u1/white/byacc -Jsemantic=Semantic gen.y

Grid Example (Java)

```
%%  
B      {yyvsparser.yylval = new Parser.Semantic(0,0);  
        return Parser.BEGIN;}  
N      {yyvsparser.yylval = new Parser.Semantic(0,1);  
        return Parser.N;}  
S      {yyvsparser.yylval = new Parser.Semantic(0,-1);  
        return Parser.S;}  
E      {yyvsparser.yylval = new Parser.Semantic(1,0);  
        return Parser.E;}  
W      {yyvsparser.yylval = new Parser.Semantic(-1,0);  
        return Parser.W;}  
[ \t\n] {;}  
%%
```